

Attorney's Docket No. 004688.P015

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Patent Application of:

Dan Kikinis

Application No.: 09/664,367

Filed: September 19, 2000

For: THREE DIMENSIONAL LIGHT
ELECTRONIC PROGRAMMING
GUIDE

Examiner: Lance W. Sealey

Art Unit: 2671

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12/04/2001 SZEWDIE1 00000020 09664367

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Assistant Commissioner for Patents
Washington, D.C. 20231-0001

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INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 together with copies of the documents cited on that form. It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

FIRST CLASS CERTIFICATE OF MAILING

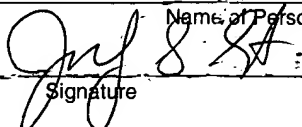
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

on November 14, 2001

Date of Deposit

Judy L. Steinkraus

Name of Person Mailing Correspondence


Signature

11/14/01
Date

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TC 2671 MAIL ROOM

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

_____ 37 C.F.R. §1.97(b).

X 37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is one of the following:

_____ A statement pursuant to 37 C.F.R. §1.97(e) or

XX A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).

_____ 37 C.F.R. §1.97(d). If so, then enclosed with this Information Disclosure Statement are the following:

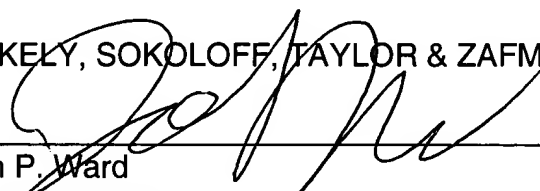
- (1) A statement pursuant to 37 C.F.R. §1.97(e); and
- (2) A check for \$180.00 for the fee under 37 C.F.R. §1.17(p) for submission of the Information Disclosure Statement.

If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

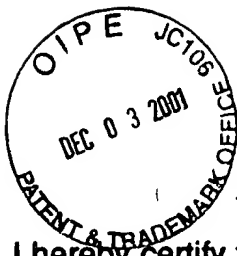
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: 1/11/01 2001



John P. Ward
Reg. No. 40,216

12400 Wilshire Blvd.
Seventh Floor
Los Angeles, CA 90025-1026
(408) 720-8300



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Date of Deposit

JUDY L. STEINKRAUS

Name of Person Mailing Correspondence

[Signature]

Signature

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Date

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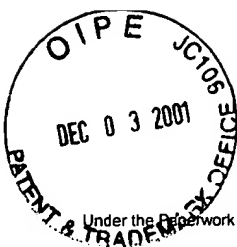
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MATCH & RETURN

Serial/ Patent No.: 09/1004,364 Filing/ Issue Date: 9/19/00
Client: iSurfTV
Title: THREE DIMENSIONAL LIGHT ELECTRONIC PROGRAMMING GUIDE
BSTZ File No.: 004688.P015 Atty/Secty Initials: JPW/JXS
Date Mailed: November 14, 2001 Docket Due Date: November 14, 2001
The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:
☐ Amendment/Response (____ pgs.)
☐ Appeal Brief (____ pgs.) (in triplicate)
☐ Application - Utility (____ pgs., with cover and abstract)
☐ Application - Rule 1.53(b) Continuation (____ pgs.)
☐ Application - Rule 1.53(b) Divisional (____ pgs.)
☐ Application - Rule 1.53(b) CIP (____ pgs.)
☐ Application - Rule 1.53(d) CPA Transmittal (____ pgs.)
☐ Application - Design (____ pgs.)
☐ Application - PCT (____ pgs.)
☐ Application - Provisional (____ pgs.)
☐ Assignment and Cover Sheet
☒ Certificate of Mailing
☐ Declaration & POA (____ pgs.)
☐ Disclosure Docs & Orig & Copy of Inventor's Signed Letter (____ pgs.)
☐ Drawings: ____ # of sheets includes ____ figures
☐ Express Mail No.: ____
☐ Month(s) Extension of Time
☒ Information Disclosure Statement & PTO 1449 (3 pgs.)
☐ Issue Fee Transmittal
☐ Notice of Appeal
☐ Petition for Extension of Time
☐ Petition for ____
☒ Postcard
☐ Power of Attorney (____ pgs.)
☐ Preliminary Amendment (____ pgs.)
☐ Reply Brief (____ pgs.)
☐ Response to Notice of Missing Parts
☐ Small Entity Declaration for Indep. Inventor/Small Business
☐ Transmittal Letter, in duplicate
☒ Fee Transmittal, in duplicate (2 pages)
☐ Check No. 46511
Amt: \$180.00
☐ Check No. ____
Amt: ____
☒ Other: Copies Of Cited References

Match & Return

moA 10-22-01



FEE TRANSMITTAL FOR FY 2002

TOTAL AMOUNT OF PAYMENT \$ 180.00.

Complete if Known:

Application No. 09/664,367

Filing Date September 19, 2000

First Named Inventor Kikinis

Group Art Unit 2671

Examiner Name Sealey

Attorney Docket No. 4688.P015

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METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge deficiencies in fees and credit any over payments to:

Deposit Account Number 2-2666

Deposit Account Name _____

☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed: ☒ Check No. 46511 for \$180.00
Money Order
Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description
Code	Fee (\$)	Code	Fee (\$)	
101	740	201	370	Utility application filing fee
106	330	206	165	Design application filing fee
107	510	207	255	Plant filing fee
108	740	208	370	Reissue filing fee
114	160	214	80	Provisional application filing fee

SUBTOTAL (1) \$ _____

2. EXTRA CLAIM FEES

	Extra Claims	Fee from below	Fee Paid
Total Claims _____	- 20** = _____	X _____	= _____
Independent Claims _____	- 3** = _____	X _____	= _____
Multiple Dependent _____		_____	= _____

**Or number previously paid, if greater; For Reissues, see below.

Large Entity		Small Entity		Fee Description
Code	Fee (\$)	Code	Fee (\$)	
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) \$ _____

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity		Small Entity		Description	Fee Paid
Code	Fee (\$)	Code	Fee (\$)		
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
099	8,800	099	8,800	Request for inter parties reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	180.00
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	For filing a submission after final rejection (see 37 CFR 1.129(a))	
148	110	248	55	Statutory Disclaimer	
149	740	249	370	For each additional invention to be examined (see 37 CFR 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
195	300	195	300	Publication fee for early, voluntary, or normal pub.	
196	300	196	300	Publication fee for republication	
194	130	194	130	Request for voluntary publication or republication	
098	130	098	130	Processing fee under 37 CFR 1.17(i) (except provisionals)	
091	1,280	091	1,280	Acceptance of unintentionally delayed claim for priority	

Other fee (specify) _____

Other fee (specify) _____

SUBTOTAL (3) \$ 180.00

*Reduced by Basic Filing Fee Paid

SUBMITTED BY:Typed or Printed Name: John P. WardSignature: _____ Date: November 14, 2001Reg. Number: 40,216 Telephone Number: (408) 720-8300 x237

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